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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ajit S. Nagral et al. :  
Serial No.: 09/880,393 : Examiner: Marcin P. Filipczyk  
Filed: June 13, 2001 : Art Unit: 2171  
For: INFORMATION STORAGE AND : Atty. Docket: WCS-00102  
RETRIEVAL SYSTEM FOR STORING :  
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Certificate of Mailing

I hereby certify that the foregoing document is being deposited with the United States Postal Service, postage prepaid, first class mail, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 30th day of April, 2004.

  
Name: Tracey Newell

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant and/or their attorney in compliance with the requirements of 37 C.F.R. § 1.56. Copies of the documents are also being submitted.

These references were cited in connection with an Opposition filed in the European Patent Office. A copy of the Notice of Opposition dated October 8, 2003 and an English translation thereof and parts of German language referenced BA are enclosed. In accordance with 37 C.F.R. 1.97(b)(4), Applicant is submitting the required fee of \$180.

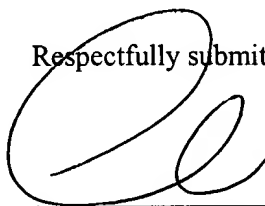
In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of the Notice of Opposition in which the references were cited. The relevance to the pending U.S. patent application is that the references, including the German language reference BA, were cited in the Opposition of a foreign patent application corresponding to the above-captioned U.S. patent application. However, no independent analysis of the references, the accuracy of the statements made in the Notice or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the Opposition submitted herewith.

The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our Deposit Account No. 03-1721. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 248-4042.

Respectfully submitted,



Anne E. Saturnelli, Reg. No. 41,290

CHOATE, HALL & STEWART  
Exchange Place  
53 State Street  
Boston, MA 02109  
Tel: (617) 248-5000  
Fax: (617) 248-4000

Date: April 30, 2004



INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/880,393
				Filing Date	June 13, 2001
				First Named Inventor	Ajit S. Nagral
				Art Unit	2171
				Examiner Name	Marcin P. Filipczyk
Sheet	1	of	2	Attorney Docket Number	WCS-00102

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US-4,943,868	07-24-1990	Yoshinaga et al.	
		US-			
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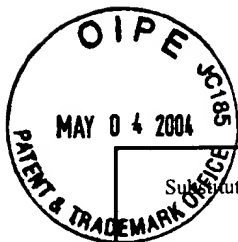
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appeal	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
						<input type="checkbox"/>
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Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<i>Complete if Known</i>		
			Application Number	09/880,393	
			Filing Date	June 13, 2001	
			First Named Inventor	Ajit S. Nagral	
			Art Unit	2171	
			Examiner Name	Marcin P. Filipczyk	
Sheet	2	of	2	Attorney Docket Number	WCS-00102

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NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	BA	TH. IRLBECK: "Computer-Lexikon", Deutscher Taschenbuch Verlag, 1998 pages 865 f.	<input type="checkbox"/>	
	BB	Domino for iSeries 400: Integrating Spool File and Fax Data	<input type="checkbox"/>	
	BC	Information Technology Association of America (ITAA) Press Release, IBM AS/400 Division Gains First ITAA*2000 Certification, October 1, 1996	<input type="checkbox"/>	
	BD	Michael P. Deignan: "Monarch, Turn Printed Reports into Data Sources", Windows & .NET Magazine Network, Instant Doc#197, September 1997	<input type="checkbox"/>	
	BE	DATAWATCH, Monarch General FAQ	<input type="checkbox"/>	
	BF	L.M. Haas et al.: "Transforming Heterogeneous Data with Database Middleware: Beyond Integration", Bulletin of the IEEE Computer Society Technical Committee on Data Engineering, 1997, pages 1-6	<input type="checkbox"/>	
	BG	M. Ricciuti: "Oracle bets on the Net with 8i", CNET News.com, September 14, 1998	<input type="checkbox"/>	
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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